

Jim Doyle
Governor

WISCONSIN DEPARTMENT OF
REGULATION & LICENSING



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ACCOUNTING EXAMINING BOARD SCREENING PANEL

Room 180, 1400 East Washington Avenue, Madison WI

Contact: David O'Connell (608-261-4490)

Friday, October 18, 2002

8:30 A.M.

1. Call Open Session to Order
2. Convene to Closed Session to consider discipline (s. 19.85(1)(b), Stats., and to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.
 - a. Screen complaints received from the public against credential holders. The Panel consists of 2 Board members and Department staff.
3. Reconvene to Open Session
4. Vote on Items Considered or Deliberated Upon In Closed Session
5. Adjournment

ACCOUNTING EXAMINING BOARD MEETING

ROOM 180, 1400 EAST WASHINGTON AVENUE, MADISON WI

CONTACT: MARY FORSETH (608-266-3423)

FRIDAY, OCTOBER 18, 2002

9:00 A.M.

1. **CALL TO ORDER**
 - a. Declaration of Quorum
2. Adoption of Agenda
3. Approval of Minutes
 - a. Board Meeting (August 16, 2002 and September 10, 2002)
4. Administrative Report
 - a. Mary Forseth, Director, Bureau of Business and Design Professions
 - i. Board Roster
 - ii. 2002/2003 Meeting Dates
 - iii. Accounting Act Modernization To-Do List
 - iv. Summary Reports on Pending Court Cases, Disciplinary Cases and Administrative Rules and Monthly Press Release of Disciplinary Orders
 - v. Regulatory Digest Articles, December 2002 Issue, Katherine Hildebrand
5. Review Status of Administrative Rules
 - a. Hearing on Independence Rules, William Dusso **10:00 a.m.**
 - b. Hearing on Peer Review Requirements Rules, William Dusso **10:00 a.m.**
 - c. Adoption of "Ownership Interest" for Accounting Firms Rules, Pamela Haack
 - d. Adoption of Experience Rules, William Dusso
 - e. Review of Rules Governing Applicability of Rules of Conduct to Separate Businesses, William Dusso
 - f. Review of Computer Examination Rules, Darwin Tichenor/Pamela Haack
6. Examination/Application Issues
 - a. 2003 Application Review Assignments
7. Practice Issues
 - a. Federal Requirement Regarding Rotation of CPA Firm Partners for Audits of Publicly Traded Companies
 - b. Other Practice Issues
8. Board Member Activity
9. Presentation of Proposed Stipulations by Prosecutor or Any That May Be Submitted After Printing of Agenda
10. Miscellaneous Correspondence/Information
11. New Business
12. **CONVENE TO CLOSED SESSION to deliberate on cases following hearing (s. 19.85(1)(a), Stats.; to consider licensure or discipline (s. 19.85(1)(b), Stats.; to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.; and, to confer with legal counsel (s. 19.85(1)(g), Stats.**
 - a. Monitoring Report
 - b. Case Closings and Case Status Report
 - c. Class 1 Hearing – Christopher Lebakken **11:30 a.m.**
 - d. Deliberation on Stipulations, Administrative Warnings and/or Disciplinary Actions that May be Signed After Printing of Agenda
 - i. Deliberation on Donald Palm stipulation
 - e. Pending Applications, If any

- f. Reinstatement Requests, If any
- g. Examination Issues

13. **RECONVENE TO OPEN SESSION**

14. Vote on Items Considered or Deliberated Upon in Closed Session, If Voting is Appropriate

15. Such Other Matters as Defined by Law

16. **ADJOURNMENT**

MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC UNLESS OTHERWISE NOTED. IF A BOARD ADJOURNS TO CLOSED SESSION, RECONVENES IN OPEN SESSION TO VOTE ON ITEMS CONSIDERED IN CLOSED SESSION, AND DURING VOTING DETERMINES THAT ADDITIONAL DELIBERATIONS ARE NECESSARY FOR VOTING, THE BOARD MAY ADJOURN TO CLOSED SESSION TO CONTINUE DELIBERATIONS AND THEN RECONVENE INTO OPEN SESSION FOR VOTING.

Meetings and hearings may be cancelled without notice. Times listed for meeting items are approximate and depend on the length of discussion and voting. The board may also consider in closed session, stipulations filed after the printing of this notice. Meetings may be confirmed by a telephone call to the listed contact person. Examinations are open only to authorized candidates. Times listed for the commencement of disciplinary hearings may be changed by the examiner for the convenience of the parties. All meetings are held at 1400 East Washington Avenue, Madison, Wisconsin, unless otherwise noted. For a complete copy of the board's agenda, contact the board listed above. Interpreters for the hearing impaired provided on request. Contact the Affirmative Action Officer, (608) 266-2112.